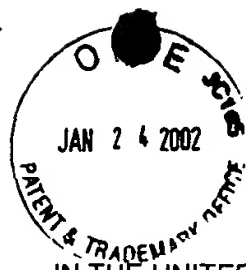


S/N 09/928159



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PATENT # 3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Bastue et al.

Serial No.: 09/928159

Group Art Unit: 2872

Filed: 8/10/01

Docket No.: 980.1108US01

Title: ALIGNMENT OF MULTI-CHANNEL DIFFRACTIVE WDM DEVICE

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on October 26, 2001

Iain A. McIntyre  
Name

[Signature]  
Signature

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

- ☒ Signed Declaration and Power of Attorney
- ☒ A check in the amount of \$130.00 for Missing Parts Fee
- ☒ A Copy of the Notice to File Missing Parts of Nonprovisional Application
- ☒ Transmittal Sheet
- ☒ Return postcard

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted,

Altera Law Group, LLC  
6500 City West Parkway, Suite 100  
Minneapolis, MN 55344  
(952) 912-0523

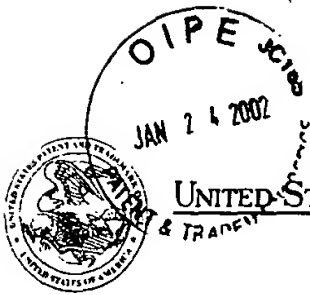
Date: October 26, 2001

By: [Signature]

Iain A. McIntyre  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/928,159	08/10/2001	Jens Bastue	980.1108US01

CONFIRMATION NO. 5649

FORMALITIES LETTER



\*OC000000006807537\*

Iain A. McIntyre  
Altera Law Group, LLC  
6500 City West Parkway - Suite 100  
Minneapolis, MN 55344-7701

Date Mailed: 09/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Chaker Khalfai and Keld Theodor*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/28/2002 HTECKLU1 00000095 09928159

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